

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Examiner:

Unknown Unknown

Search string:

(1646599 or 3952802 or 4010800 or

3892270).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
TM	1	1646599	1927-10-25	Schaefer			
	2	3952802	1976-04-27	Terry			
	3	4010800	1977-03-08	Тепту			
W W	4	3892270	1975-07-01	Lindquist			

Remarks

Note: Remarks are not for responding to an office action.

Foreign applications and other art will be submitted on a PTO-1449 form

Signature

Examiner Name	Date
Jam	TN

Electronic Information Disclosure Statement

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Application:

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5994

Applicant(s):

Etuan Zhang

Docket

5659-03400

Number:

Group Art Unit:

Examiner:

Unknown

search string:

(3221811 or 3987851 or 4042026 or 4005752 or 5868202 or 5126037 or 3477058 or

3580987).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
TĤ	P01	3221811	1965-12- 07 _.	CINCO BEATIND HEALING BEATING BOOK	Prats		
17	P02	3987851	1976-10- 26		Tham		

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TAADEWARKS	IN	PO3	4042026	1977-08- 16		Pusch et al.		
		P04	4005752	1977-02- 01		Cha	120	
		P05	5868202	1999-02- 09		Hsu	PROCENT AND ACTION	
		P06	5126037	1992-06- 30		Showalter	* 100 mm	0
•		P07	3477058	1968-11- 04				
	V	P08	3580987	1971-05- 25		Priaroggia		

Signature

Examiner Name	Date
Zam	09/22/04

Form PTO-1449 (modified) ATTY. DKT. NO. 5659-03400 SERIAL NO. 09/840,936 List of Patents and Publications For Applicant's Information APPLICANT: Zhang et al. CONFIRMATION NO.: 5994 AUG Disclosure Statement LING DATE: April 24, 2001 GROUP: 1764 OTHER ART EXAM. REF. OTHER ART (including Author, Title, Date, Pertinent Pages, etc.) INITIALS DES. U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,296, GOA-01 Mailed June 13, 2003 (8 pages). U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,296, GOA-02 Mailed May 26, 2004 (8 pages). U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,289, GOA-03 Mailed May 7, 2003 (8 pages). U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,289, GOA-04 Mailed October 17, 2003 (7 pages). U.S. Patent and Trademark Office, "Office Communication" for Application No. 09/841,289, GOA-05 Mailed January 6, 2004 (3 pages).

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Page 1 of 1 (modified)

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Application:

Confirmation: 5994

Applicant(s): Etuan Zhang

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5659-03400

Number: **Group Art**

Unit:

Examiner:

Unknown

(4087130 or 4537252 or re30019 or 2623596 or 3775185 or 4524113 or 5284878 or 5767584 or search string: 5955039 or 4091869 or 4513816 or 0094813 or 5008085 or 4099567 or 0048994 or 6485232 or

20020018697).pn.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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N	P23	4087130	1978-05- 02		Garrett		······································
7	P24	4537252	1985-08- 27		Puri	-	
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7	N P25	re30019	1979-06- 05	1111 0 111 0 111 11 111 111 111 111 111 111	Lindquist "	} ,
1	P26	2623596	1952-12- 30		Whorton et al.	
	P27	3775185	1973-11- 27		Kunz et al.	
	P28	4524113	1985-06- 18		Lesieur	
	P29	5284878	1994-02- 05		Studer et al.	
	P30	5767584	1998-06- 16		Gore et. al	
	P31	5955039	1999-09- 21		Dowdy	
	P32	4091869	1978-05- 30		Hoyer	
	P33	4513816	1985-04- 30		Hubert	
	P34	0094813	1869-09- 14		Dickey	
	P35	5008085	1991-04- 16		Bain et al.	
	P36	4099567	1978-07- 11		Terry	
	P37	0048994	1865-07- 25		Parry	
	P38	6485232	2002-11- 26		Vinegar et al.	

Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
77	UO 1	20020018697	2002-02- 14		Vinegar et al.	·	

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	Form PTQ-1449 (modified) List of Patents and Publications			J.Y. DKT. NO. 5659-	-03400/1114/98	SERI.	AL NO. 09/840,936	
For Applicant's Information			APPLICANT: Zhang et al. (ROUP: 1764					
Disclosure St		· ·			*	6 2 8 2002 p		
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·			U.S. PAT	ENT DOCUMENTS	E.	PADEMARK C.		
EXAM. INITIALS	REF.	I CHARLENGE MILITARED	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
TN	G5	3,766,982	Oct-73	Justheim				
	G7	3,599,714	Aug-71	Messman et al.				
	G8	4,043,393	Aug-77	Fisher et al.				
•		OTHER ART (In	cluding Aut	hor, Title, Date, Per	tinent Pages,	Etc.)		
TN	G6	Rogers, Rudy E. "Coa	ilbed Methar	ne: Principles and Prac	tice" Prentice	-Hall, Inc. 1994, p	p. 164-165.	
TN G9 Hyne, Norman J. Geology for								

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ATTY. DKT. NO. 5659-03400/TH1959

SERIAL NO. 09/840,936

APPLICANT: Zhang et al.

GROUP: 1764

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
LN	G5	3,766,982	Oct-1973	Justheim		

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		MILE	U.S. PATENT	DOCUMENTS			
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Th	H1	4,093,025	June 78	Terry			
	Н3	4,895,206	Jan-90	Price			
	J1	326,439	Sep-1885	McEachen			力の市
	J2	1,681,523	Feb-1928	Downey et. al.			0 = 6
	J3	2,244,256	Jun-1941	Looman			
	J4	2,714,930	Aug-1955	Carpenter			70 g
	J 5	3,547,193	Dec-1970	Gill			0 % [
	J6	3,562,401	Feb-1971	Long			
	J 7	4,089,374	May-1978	Terry			
	J8	4,423,311	Dec-1983	Varney, Sr.			
	19	4,489,782	Dec-1984	Perkins			
	J10	4,626,665	Dec-1986	Fort, III			
	J11	4,694,907	Sep-1987	Stahl et. al.			
	J12	-5,182,792	Jan-1993	Goncalves			
	J13	5,402,847	Apr-1995	Wilson et. al.			
	J14	5,491,969	Feb-1996	Cohn et. al.			
	J15	5,621,844	Apr-1997	Bridges			
	J16	6,244,338	Jun-2001	Mones			
	J17	6,389,814	May-2002	Viteri et al.			
	J18	6,412,559	Jul-2002	Gunter et al.			
	J20	3,680,633	Aug-1972	Bennett			
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		F	OREIGN PATEN	T DOCUMENTS	<u></u>		
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		OTHER ART (In	cluding Author,	litle, Date, Pertinent Page	es, Etc.)		· · · · · · · · · · · · · · · · · · ·
M	H2	Hobson, G.D., Modern Petrol	eum Technology, I	Halsted Press, Applied Scie	nce Publisi	ners LTD 1	973 pp 786 787

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Form PTO-1449 (modified)
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ATTY. DKT. NO. 5659-03400/TH1959

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APPLICANT: Zhang et al.

GROUP: 1764

FILING DATE: April 24, 2001

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EXAM. INITIAL:	_	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
TN		FI	4,252,191	Feb-1981	Pusch et al.		
T)	F2	3,310,109	Mar-1967	J. W. Marx et al.		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

TN	F3	Thermal, Mechanical, and Physical Properties of Selected Bituminous Coals and Cokes, J. M. Singer and R. P. Tye,
110	 	US Department of Interior, Bureau of Mines (1979) Government Report No. 8364.

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Information Disclosure Statement--PTO 1449 (modified)

Form PTQ-1449 (modified) ATTY, DKT. NO. 5659-03400/TH1959 SERIAL NO. 09/840,936 List of Patents and Publications JUL 0 8 2003 For Applicant's Information APPLICANT: Zhang et al. GROUP: 1764 Disclosure Statement (Use several sheets if necessary) FILING DATE: April 24, 2001 U.S. PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER DATE NAME CLASS **SUB** FILING DATE IF INITIALS DES. **CLASS** APPROPRIATE TN GI 3,675,715 Jul-1972 Speller, Jr. TN G2 3,809,159 May-1974 Young et al. OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) G3 Rogers, Rudy E. "Coalbed Methane: Principles and Practice" Prentice-Hall, Inc. 1994, pp. 68-97. TN G4 Department of Energy Coal Sample Bank and Database http://www.energy.psu.edu/arg/doesb.htm, June 4, 2002.

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U.S. PATENT DOCUMENTS

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TN	El	3,181,613	May-1965	Krueger			
	E2	3,922,148	Nov-1975	Child			
	E3	3,924,680	Dec-1975	Тетту	RE	CEN	/FD
	E4	5,020,596	Jun-1991	Hemsath	וטל	0 6 20	
	E5	5,229,102	Jul-1993	Minet et al.		4 20 XU	2
	E6	5,316,664	May-1994	Gregoli et al.	TO	777	10
	E7	5,366,012	Nov-1994	Lohbeck			
	E8	5,541,517	Jul-1996	Hartmann et al.			
	E9	5,861,137	Jan-1999	Edlund			
-	E10	6,354,373	Mar-2001	Vercaemer et al.			
	E15	4,463,807	Aug-1984	Stoddard et al.			

TN	EII	Coal, Encyclopedia of Chemical Technology, Kirk, R.E., Kroschwitz, J.I., Othmer, D.F., Wiley, New York, 4th edition, 1991, Vol. 6, pp. 423-488.
	E12	Cortez et al., UK Patent Application GB 2,068,014 A, Date of Publication: August 5, 1981.
	E13	Wellington et al., US Patent Application 60/273,354, Filed March 5, 2001.
LV	E14	The VertiTrak System Brochure, Baker Hughes, INT-01-1307A4, 2001 8 pages.

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GROUP: 1764

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	C2	1,457,479	6/1923	Wolcott			PECEIVEL 1 8 2002 1 400
	C3	1,634,236	6/1927	Ranney		14,	ar Silva
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	C5	2,685,930	8/1954	Albaugh			11
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	C7	2,771,954	11/1956	Jenks et al.			
	C8	2,793,696	5/1957	Morse			
	C9	2,890,754	6/1959	Hoffstrom et al.	-		
	C10	2,890,755	6/1959	Eurenius et al.			
	CII	2,906,340	9/1959	Herzog			
	C12	2,932,352	4/1960	Stegemeier			
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	C22	3,116,792	1/1964	Purre			
	C23	3,120,264	2/1964	Barron			
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-	C25	3,127,936	4/1964	Eurenius			
	C26	3,132,692	5/1964	Marx et al.	1		
	C27	3,205,944	9/1965	Walton	1		
	C28	3,233,668	2/1966	Hamilton et al.			
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W l	C30	3,275,076	9/1966	Sharp	1		

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<u></u>		RADEMAN	U.S. PATEN	TDOCUMENTS			
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	C32	3,352,355	11/1967	Putman			May CEIV
	C33	3,379,248	4/1968	Strange		-	1000
•	C34	3,605,890	9/1971	Holm			1
	C35	3,617,471	11/1971	Schlinger et al.			100
•	C36	3,661,423	5/1972	Garrett			
	C37	3,770,398	11/1973	Abraham et al.			
	C38	3,882,941	5/1975	Pelofsky			
	C39	3,948,319	4/1976	Pritchett			
	C40	3,954,140	5/1976	Hendrick			
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-	C53	4,183,405	1/1980	Magnie			
	C54	4,228,854	10/1980	Sacuta			
·	C55	4,243,101	1/1981	Grupping			
	C56	4,277,416	7/1981	Grant			
_	C57	4,306,621	12/1981	Boyd et al.			
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ATTY. DKT. NO. 5659-03400/TH1959

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FILING DATE: April 24, 2001

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EXAM. REF. DOCUMENTAMENDER		U.S. PATENT DOCUMENTS					
EXAM. INITIAL _I S	REF. DES.	DOCUMENTAMENDER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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	C61	4,384,613	5/1983	Owen et al.			1CO
	C62	4,396,062	8/1983	Iskander			MAY
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	C64	4,444,255	4/1984	Geoffrey et al.			7/18
<u>· </u>	C65	4,448,251	5/1984	Stine			100
	C66	4,448,252	5/1984	Stoddard et al.			
	C67	4,457,365	7/1984	Kasevich et al.			
	C68	4,476,927	10/1984	Riggs		· · · · · · · · · · · · · · · · · · ·	
	C69	4,485,869	12/1984	Sresty et al.			
	C70	4,524,826	6/1985	Savage			
	C71	4,549,396	10/1985	Garwood et al.			
	C72	4,573,530	3/1986	Audeh et al.			
	C73	4,576,231	3/1986	Dowling et al.			
	C74	4,592,423	6/1986	Savage et al.			
	C75	4,608,818	9/1986	Goebel et al.		 	,
	C76	4,637,464	1/1987	Forgac et al.			
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	C78	4,662,438	5/1987	Taflove et al.			
	C79	4,662,439	5/1987	Puri			
	C80	4,662,443	5/1987	Puri et al.			
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	C82	4,704,514	11/1987	Van Edmond et al.			
	C83	4,772,634	9/1988	Farooque			
	C84	4,787,452	11/1988	Jennings, Jr.		-	
	C85	4,817,711	4/1989	Jeambey			
	C86	4,818,370	4/1989	Gregoli et al.			
	C87	4,928,765	5/1990	Nielson	1		
	C88	5,064,006	11/1991	Waters et al.	1		
	C89	5,082,054	1/1992	Kiamanesh			

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Form PTO-1449 (modified) ATTY. DKT. NO. 5659-03400/TH1959 SERIAL NO. 09/840,936 List of Patents and Publications For Applicant's Information APPLICANT: Zhang, et al. GROUP: 1764 Disclosure Statement MAY 0 6 2002 (Use several sheets if necessary) FILING DATE: April 24, 2001 U.S. PATENT DOCUMENTS DOCUMENT NUMBER EXAM. REF. DATE NAME CLASS SUB FILING DATE IF INITIALS DES. TECEIVE C TO 8 2002 TO 1 2002 CLASS C90 5,082,055 1/1992 Hemsath C91 5,217,076 6/1993 Masek C92 5,261,490 11/1993 Ebinuma C93 5,285,846 2/1994 Mohn C94 5,289,882 3/1994 Moore C95 5,411,104 5/1995 Stanley C96 5,632,336 5/1997 Notz et al. C97 5,713,415 2/1998 Bridges C98 6,328,104 12/2001 Graue DI 3,149,670 9/1964 Grant D2 3,380,913 4/1968 Henderson **D3** 3,794,116 2/1974 Higgins D4 4,197,911 4/1980 Anada D5 4,412,124 10/1983 Kobayashi D8 3,316,962 5/1967 Lange FOREIGN PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER DATE COUNTRY CLASS SUB TRANSLATI INITIALS DES. **CLASS** ON YES/NO C99 2,015,460 CA 10/1991 940558 A1 C100 EP 9/1999 C101 01/81723 A1 11/2001 wo C102 01/81505 A1 11/2001 wo D6 1,165,361 CA 4/1984 D7 1.168.283 5/1994 CA OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Appalachian Coals: Potential Reservoirs for Sequestering Carbon Dioxide Emissions from Power Plants While C103 Enhancing CBM Production; C.W. Byer, et al., Proceedings of the International Coalbed Methane Symposium. The Pros and Cons of Carbon Dioxide Dumping Global Warming Concerns Have Stimulated a Search for Carbon Sequestration Technologies; C. Hanisch, Environmental Science and Technology, American Chemical Society, Easton, C104 PA.

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Pilot Test Demonstrates How Carbon Dioxide Enhances Coal Bed Methane Recovery, Lanny Schoeling and Michael

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Form PTO-1449 (modified) ATTY. DKT. NO. 5659-03400/TH1959 SERIAL NO. 09/840,936 List of Patents and Publications For Applicant's Information APPLICANT: Zhang, et al. GROUP: 1764 Disclosure Statement (Use several sheets if necessary) FILING DATE: April 24, 2001 (Including Author, Title, Date, Pertinent Pages, Etc.) In Situ Measurement of Some Thermoporoelastic Parameters of a Granite, Berchenko et al., Poromechanics, A Tribute C106 to Maurice Biot, 1998, p. 545-550. Conversion characteristics of selected Canadian coals based on hydrogenation and pyrolysis expering Kalkreuth, C. Roy, and M. Steller. Geological Survey of Canada, Paper 89-8, 1989, pages 108-114 Passey et al., US Patent Application Publication 2001/0049342 A1, December 6, 2001. Tar and Pitch, G. Collin and H. Hoeke. Ullmann's Encyclopedia of Industrial Chemistry, Vol.

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SERIAL NO. 09/840,936

APPLICANT: Zhang et al.

GROUP: 1764

FILING DATE: April 24, 2001

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APPLICANT: Zhang, et al.

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TN	A1	760,304	05/1904	Butler			
	A2	1,342,741	06/1920	Day			
	A3	1,510,655	10/1924	Clark			
	A4	1,666,488	02/1927	Crawshaw			
	A 5	1,913,395	11/1929	Karrick			
	A6	2,423,674	07/1947	Agren			
	A7	2,444,755	07/1948	Steffen			
	A8	2,466,945	02/1946	Greene		DEA	
<u>. </u>	A9	2,472,445	06/1949	Sprong		IEC	EIVED
	A10	2,484,063	10/1949	Ackley		JAN Y	£ 2002
	A11	2,497,868	02/1950	Dalin		TO 4	-
	A12	2,548,360	04/1951	Germain		10-1	700
	A13	2,593,477	04/1952	Newman et al.			
	A14	2,595,979	05/1952	Pevere et al.			
	A15	2,630,306	01/1952	Evans			
	A16	2,634,961	04/1953	Ljungstrom			
	A17	2,642,943	06/1953	Smith et al.			
	A18	2,670,802	03/1954	Ackley			
	A19	2,695,163	11/1954	Pearce et al.			
•	A20	2,732,195	01-24-56	Ljungstrom			
	A21	2,734,579	02-14-56	Elkins			
	A22	2,780,449	02-05-57	Fisher et al.			
	A23	2,777,679	01/1957	Ljungstrom			
	A24	2,780,450	02/1957	Ljungstrom '			***************************************
	A25	2,786,660	03/1957	Alleman			
	A26	2,789,805	04/1957	Ljungstrom			
· \	A27	2,804,149	08/1957	Kile			
	A28	2,841,375	07/1958	Salomonsson			
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7	A30	2,906,337	09/1959	Henning			

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	A32	2,923,535	02/1960	Ljungstrom			
	A33	2,939,689	06/1960	Ljungstrom			
	A34	2,954,826	10/1960	Sievers			
· _	A35	2,974,937	03/1961	Kiel			
	A36	2,994,376	08/1961	Crawford et al.			
	A37	2,998,457	08/1961	Paulsen			
	A38	3,004,603	10/1961	Rogers et al.	\perp \bowtie	ECF	WED
	A39	3,007,521	11/1961	Trantham et al.		A	VLU
	A40	3,095,031	06/1963	Eurenius et al.	8	AN V 4	2002
	A41	3,105,545	10/1963	Prats et al.	1	C 17	00
	A42	3,106,244	10/1963	Parker		A 1 E	VV
	A43	3,110,345	11/1963	Reed et al.			
	A44	3,113,623	12/1963	Krueger			
	A45	3,114,417	12/1963	McCarthy			
	A46	3,131,763	05/1964	Kunetka et al.			
	A47	3,139,928	07/1964	Broussard			
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	A49	3,149,672	10/1964	Orkiszewski et al.			
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	A51	3,164,207	01/1965	Thessen et al.			
	A52	3,182,721	05/1965	Hardy			
	A53	3,183,675	05/1965	Schroeder			
	A54	3,191,679	06/1965	Miller			
	A55	3,205,946	10/1965	Prats et al.			
	A56	3,207,220	10/1965	Williams			
	A57	3,208,531	10/1965	Tamplen			
	A58	3,209,825	10/1965	Alexander et al.			
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IN	A89	4,381,641	05/1983	Madgavkar et al.			
	A90	4,398,151	08/1983	Vinegar et al.			
	A91	4,407,973	10/1983	van Dijk et al.			
	A92	4,409,090	10/1983	Hanson et al.			
	A93	4,444,258	04/1984	Kalmar			
	A94	4,501,445	02/1985	Gregoli			
	A95	4,530,401	07/1985	Hartman et al.			
	A96	4,540,882	10/1985	Vinegar et al.			
	A97	4,542,648	10/1985	Vinegar et al.		·^=!	/ED
	A98	4,570,715	02/1986	Van Meurs et al.	H	CEI	AED
	A99	4,571,491	02/1986	Vinegar et al.	J.	N V 4 2	002
	A100	4,572,299	02/1986	Vanegmond et al.	54	A 47	'CO
	A101	4,583,046	04/1986	Vinegar et al.	į.	C 17	00
	A102	4,583,242	04/1986	Vinegar et al.			
	A103	4,594,468	06/1986	Minderhoud			
	A104	4,597,441	07/1986	Ware et al.			
	A105	4,605,680	08/1986	Beuther et al.			
	A106	4,613,754	09/1986	Vinegar et al.			
	A107	4,616,705	10/1986	Stegemeier et al.			
.	A108	4,635,197	01/1987	Vinegar et al.			
	A109	4,640,352	02/1987	Vanmeurs et al.			
	A110	4,644,283	02/1987	Vinegar et al.			
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	A112	4,663,711	05/1987	Vinegar et al.			
	A113	4,671,102	06/1987	Vinegar et al.			
	A114	4,716,960	01/1988	Eastlund et al.			
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TN	A119	4,762,425	08/1988	Shakkottai et al.			
1	A120	4,769,602	09/1988	Vinegar et al.			
	A121	4,769,606	09/1988	Vinegar et al.			
	A122	4,793,656	12/1988	Siddoway et al.			
	A123	4,827,761	05/1989	Vinegar et al.			
	A124	4,848,924	07/1989	Nuspl et al.			
	A125	4,856,341	08/1989	Vinegar et al.			
	A126	4,860,544	08/1989	Krieg et al.			
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	A129	4,886,118	12/1989	Van Meurs et al.		050	/ED
	A130	4,927,857	05/1990	McShea III et al.	H	CEI	VER
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	A132	4,983,319	01/1991	Gregoli et al.		\bigcirc 47	00
	A133	4,984,594	01/1991	Vinegar et al.		C 17	UU
	A134	4,987,368	01/1991	Vinegar			
	A135	4,994,093	02/1991	Wetzel et al.			
	A136	5,014,788	05/1991	Puri et al.			
	A137	5,046,559	10/1991	Glandt			
-	A138	5,050,386	09/1991	Krieg et al.			
	A139	5,060,287	10/1991	Van Egmond			
	A140	5,060,726	10/1991	Glandt et al.			
	A141	5,065,818	11/1991	Van Egmond			
	A142	5,168,927	12/1992	Stegemeier et al.			
	A143	5,189,283	02/1993	Carl, Jr. et al.			
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APPLICANT: Zhang, et al.

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1	A150	5,255,742	10/1993	Mikus			
	A151	5,297,626	03/1994	Vinegar et al.	<u> </u>		
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	A166	5,411,089	05/1995	Vinegar et al.			
	A167	5,415,231	05/1995	Northrop et al.			
	A168	5,431,224	07/1995	Laali			
	A169	5,433,271	07/1995	Vinegar et al.			
-	A170	5,437,506	08/1995	Gray			
	A171	5,439,054	08/1995	Chaback et al.			
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	A173	5,497,087	03/1996	Vinegar et al.			
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		A180	5,656,239	08/1997	Stegemeier et al.		- 1			
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·		A185	5,984,010	11/1999	Elias et al.					
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		A190		02/2000	Wellington et al.	1	7	U NAL	¥ 2002	
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	<u>.</u>	A193	6,079,499	06/2000	Mikus et al.	1				
		A194	6,085,512	07/2000	Agee et al.	1				
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•		A198	6,152,987	11/2000	Ma et al.					
		A199		01/2001	Wolflick et al.	1	1			
		A200	6,173,775 B1	01/2001	Elias et al.		\top			
		A201	6,187,465	02/2001	Galloway	1	_			
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ATTY. DKT. NO. 5659-03400/TH1959

APPLICANT: Zhang, et al.

SERIAL NO. 09/840,936

GROUP: 1764

FILING DATE: April 24, 2001

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
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Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Art Unit:

1764

Examiner:

Marian C. Knode

Search string:

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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TM	2	3947683	1976-03-30	Schultz et al.			

Signature

Examiner Name	Date
Tan	8/24/04

Form PTO-1449 (modified) ATTY, DKT. NO. 5659-03400/TH1959 SERIAL NO. 09/840,936 List of Patents and Publications For Applicant's Information APPLICANT: Zhang et al. GROUP: 1764 Disclosure Statement (Use several sheets if necessary) FILING DATE: April 24, 2001 FOREIGN PATENT DOCUMENTS EXAM. REF. TRANSLATION DOCUMENT NUMBER DATE COUNTRY SUB CLASS **CLASS** DES. INITIALS AA2 294 809 1988-12-14 EP

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or 4776638 or 4856587 or 5517593 or 5099918 or 5751895 or 6015015 or

6112808).pn.

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	23	6732796	2004-05-11	Vinegar et al.
	24	6736215	2004-05-18	Maher et al.
	25	6739394	2004-05-25	Vinegar et al.
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Ш	39	6581684	2004-06-24	Wellington et al.
	40	6588504	2004-07-08	Wellington et al.
Ш	41	6588503	2004-07-08	Karanikas et al.
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PATENT Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Art Unit:

1764

Examiner:

T. M. Nguyen

Search string:

(3004596 or 3342258 or 3455383 or 3501201

or 3502372 or 3759574 or 4160479 or 4375302

or 4483398 or 4815790).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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	2	3342258	1967-09-19	Prats			
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	5	3502372	1970-03-24	Prats			
	6	3759574	1973-09-18	Beard			
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	8	4375302	1983-03-01	Kalmar			
Ш	9	4483398	1984-11-20	Peters et al.			
	10	4815790	1989-03-28	Rosar et al.			

Examiner Name	Date
Tam	9/22/04

Form PTO-1	449 (n	nodified)	ATTY. DKT. NO. 5659-03400	SERIAL NO. 09/840,936
List of Patent				
For Applican			APPLICANT: Zhang et al.	CONFIRMATION NO: 5994
Disclosure St		1 2211		
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TN	CC01	Porter, H. P., Petroleum Diction	nary for Oil, Field, and Factory, The Gulf Publ	ishing Company, 1948, 4th Ed., page 312.
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DATE CONSIDERED:

09/22/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang Attorney Docket Number: 5659-03600

Art Unit:

1764

Examiner:

T. D. Dang

Search string:

(3994340 or 3994341 or 4460044 or 4696345

or 2584605 or 2969226 or 3982591 or

3982592).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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Ш	3	4460044	1984-07-17	Porter			
	4	4696345	1987-09-29	Hsueh			
Ш	5	2584605	1952-02-05	Merriam et al.			
Ш	6	2969226	1961-01-24	Huntington			
Ш	7	3982591	1976-09-28	Hamrick et al.			
V	8	3982592	1976-09-28	Hamrick et al.			

Examiner Name	Date
Tam	09/22/01

Form PTO-144 List of Patents a For Applicant's Disclosure State (Use several she	ind Public Informatement	cations Q	THE DECEMBER.			SERIAL NO. 09/840,936 CONFIRMATION NO.: 5994 ART UNIT: 1764		
		PATENTE	U.S. PATENT	DOCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
TN	Ull	4006778	2/8/1977	Redford et al.				
EXAM. INITIALS	REF. DES.	ОТН	OTHER ART (including Author, Title, Date, Pertinent Pages, etc.)					
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DATE CONSIDERED:

09/22/04

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Electronic Version v18 Stylesheet Version v18.0

> Title of **Invention**

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Art Unit:

1764

Examiner:

Glenn A. Caldarola

Search string:

(3947656).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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Examiner Name	Date			
Tam	09/22/04			



Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

Confirmation Number:

5994

First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Art Unit:

1764

Examiner:

Glenn A. Caldarola

Search string:

(3947656).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init,	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
FN	1	3947656	1976-03-30	Lodi			

Examiner Name	Date			
Turr	09/22/04			



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Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE WITH OXYGENATED HYDROCARBONS

Application Number:

09/840936

5994

Confirmation Number: First Named Applicant:

Etuan Zhang

Attorney Docket Number: 5659-03400

Art Unit:

1764

Examiner:

Glenn A. Caldarola

Search string:

(4931171 or 4737267 or 4384948 or 3593790

or 3497000 or 3244231 or 3223166).pn.

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	2	4737267	1988-04-12	Pao et al.		•	
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V	7	3223166	1965-12-14	Hunt et al.			•

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Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement

(Use several sheets if necessary)

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ATTY. DKT. NO. 5659-03400

FILING DATE: April 24, 2001

SERIAL NO. 09/840,936

APPLICANT: Zhang et al.

GROUP: 1764

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L		Ul	3,165,154	1/12/1965	Santourian			
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_	TN	T02	panuary 27, 1995, (23 pa	Shale Retorting Dependenges).				
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner. Form PTO-1449 (modified) ATTY. DKT. NO. 5659-03400 SERIAL NO. 09/840,936 List of Patents and Publications For Applicant's Information AUG 1 8 2003 APPLICANT: Zhang et al. GROUP: 1764 Disclosure Statement (Use several sheets if necessary) FILING DATE: April 24, 2001 "SKIFEROLJA GENOLUS PVARMNING AV SKIFFERBERGET," Faxin Department och Namder, 1941, (3 T20 pages) T21 "Aggregleringens orsaker och ransoneringen grunder", Av director E.F.Cederlund I Statens livesmedelskonmmission (1page). Ronnby, E. "KVARNTORP-Sveriges Storsta skifferoljeindustri," 1943, (9 pages) T22 T23 SAAB report, "The Swedish Shale Oil Industry," 1948 (8 pages). T24 Gejrot et al., "The Shale Oil Industry in Sweden," Carlo Colombo Publishers-Rome, Proceedings of the Burth World Petroleum Congress, 1955 (8 pages) Hedback, T. J., The Swedish Shale as Raw Material for Production of Power, Oil and Gas," XIth Sectional T25 Meeting World Power Conference, 1957 (9 pages) SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand", 1955 Vol. 1, T26 (141 pages) English SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Figures", 1955 T27 Vol. 2, (146 pages) English. "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Memorandum re: T28 tests", 1955 Vol. 3, (256 pages) English. Helander, R.E., "Santa Cruz, California, Field Test of Carbon Steel Burner Casings for the Lins Method of Oil T29 Recovery", 1959 (38 pages) English. Helander et al., Santa Cruz, California, Field Test of Fluidized Bed Burners for the Lins Method of Oil Recovery" T30 1959, (86 pages) English. SSAB report, "Bradford Residual Oil, Athabasa Ft. McMurray" 1951, (207 pages), partial translation. T31 T32 "Lins Burner Test Results-English" 1959-1960 T33 SSAB "Annual Reports, SSAB Laboratory, Address Annually Issues-Shale and Ash, Oil, Gas, Waste Water, Analytical", 1953-1954, (166 pages). Swedish SSAB report, "Financial Matter, Swedish taxes, etc.," 1960-1961 (37 pages). Swedish T34 T35 SSAB report, "Cost For Mining," 1959-1979 (13 pages). Swedish T36 SSAB report, "Cost Comparison of Mining and Processing of Shale and Dolomite Using Various Production Alternatives", 1960, (64 pages). Swedish SSAB report, "Assessment of Future Mining Alternatives of Shale and Dolomite," 1962, (59 pages) Swedish. T37 SSAB report. "Kartong 2 Shale: Ljungstromsanlaggningen" (104 pages) Swedish. T38 T39 SAAB, "Photos", (18 pages). SAAB report, "Swedish Geological Survey Report, Plan to Delineate Oil shale Resource in Narkes Area (near T40 Kvarntorp)," 1941 (13 pages). Swedish. T41 SAAB report, "Recovery Efficiency," 1941, (61 pages). Swedish. SAAB report, "Geologic Work Conducted to Assess Possibility of Expanding Shale Mining Area in Kvarntorp; T42 Drilling Results, Seismic Results," 1942 (79 pages). Swedish. T43 SSAB report, "Ojematinigar vid Norrtorp," 1945 (141 pages). SSAB report, "Inhopplingschema, Norrtorp II 20/3-17/8", 1945 (50 pages). Swedish. T44 T45 SSAB report, "Secondary Recovery after LINS," 1945 (78 pages)

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	45	6609570	2003-08-26	Wellington et al.
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A	47	6761216	2004-07-13	Vinegar et al.

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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Ш	2	20040015023	2003-11-20	Wellington et al.	Ì		
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	4	20040040715	2004-03-04	Wellington et al.	ĺ		
	5	20040020642	2004-02-05	Vinegar et al.	İ		
	6	20040108111	2004-06-10	Vinegar et al.			

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Tam	09,	22/	07	
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